

AUG 17 2006

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S/N 10/521,630

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	UEDA et al.	Examiner:	NGYUEN
Serial No.:	10/521,630	Group Art Unit:	2627
Filed:	January 19, 2005	Docket No.:	10873.1556USWO
Title:	DEFICIENCY DETECTING APPARATUS FOR OPTICAL DISK		

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on August 17, 2006.

By: 

Name: Antonette C Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. Copies of any foreign patent documents or "Other Documents" are enclosed.

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- ☐ (1) within three (3) months of the Filing Date, before the mailing date of a First Office Action on the merits, or before the mailing date of a First Office Action on the merits after the filing of a request for continued examination under 37 C.F.R. §1.114; or
- ☒ (2) after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
- ☒ the requisite Statement is below, OR
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein, or
- ☐ (3) after the mailing date of a Final Rejection or Notice of Allowance but on or before the payment of the Issue Fee, AND

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- ☐ the requisite Statement is below, AND
- ☐ the requisite fee of \$180.00 under Rule 1.17(p) is included herein.

**STATEMENT**

As required under §1.97(e), Applicants hereby state either that:

- ☒ 1. Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

- ☒ If this box is checked, Applicant provides the following:

**Certification Under 37 C.F.R. §1.704(d)**

each In accordance with 37 C.F.R. §1.704(d), the undersigned hereby certifies that  
was item listed on the enclosed Form 1449 was first cited in a communication from a  
foreign patent office in a counterpart application, and that this communication  
was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty  
(30) days prior to the filing of this Information Disclosure Statement.

- ☒ The Examiner is hereby advised of the following co-pending U.S. applications. A copy of each U.S. patent application publication (if published) or application (if not published) is enclosed.

<u>Publication No.</u>	<u>Publication Date</u>
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US 2002/0034137	March 21, 2002
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corresponds to:

KR 2002-0022604	March 27, 2002
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No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached

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Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 50-3478. Any overpayments should be credited to said Deposit Account.

**53148**

PATENT TRADEMARK OFFICE

Dated: August 17, 2006

Respectfully submitted,

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By: 

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Reg. No. 30,300  
DPM/acp

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Date Mailed: August 17, 2006

Sheet 1 of 1

FORM 1449\*

**INFORMATION DISCLOSURE STATEMENT****IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number:

10873.1556USWO

Application Number:

10/521,630

Applicant: UEDA et al.

Filing Date:

January 19, 2005

Group Art Unit

2627

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0034137	03/2002	Okumura et al.			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 9-282658	10/1997	Japan			Abstract	
	KR 2002-0022604	03/2002	Korea			See IDS	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Korean Office Action for corresponding Korean application 10-2005-7003559, dated July 24, 2006.

**53148**

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE